

**Electronic Filing System (EFS) Data  
Electronic Patent Application Submission  
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EFS ID: 16922  
Application ID: 10064665  
  
Title of Invention: SYSTEM AND METHOD FOR  
PROVIDING ASSET MANAGEMENT  
AND TRACKING CAPABILITIES  
  
First Named Inventor: John CARBONE  
Domestic/Foreign Application: Domestic Application  
Filing Date: null  
Effective Receipt Date: 2002-08-05   
Submission Type: Utility Patent Filing  
Filing Type: new-utility  
Confirmation Number: 0  
Attorney Docket Number: 61765.005009  
Digital Certificate Holder: cn=Robin Clark, ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: j+1ewcIQI5EunZla0ELr8A==  
  
Total Fees Authorized: \$1280.0

Payment Category: DA – Deposit Account  
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Deposit Account Name: Robin C. Clark



# TRANSMITTAL FORM

Electronic Version 1.0.3

Stylesheet Version: 1.0

Attorney Docket  
Number:

61765.005009

Submission Type: Utility  
Patent Filing

## SYSTEM AND METHOD FOR PROVIDING ASSET MANAGEMENT AND TRACKING CAPABILITIES

First Named Inventor: Mr. John William CARBONE

**SUBMITTED BY**

Name: Mr. Robin C. Clark

Registration Number: 40956

Electronic Signature Mark: rclark41 Date Signed: 20020805

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

**Attached Files:**

declaration	dec1.tif
declaration	dec2.tif
declaration	dec3.tif

bibd-transmittal  
fee-transmittal  
specification

GRC5009apds.xml  
GRC5009fee.xml  
specification.xml

**Attached Image File(s):**

dec1.tif  
dec2.tif  
dec3.tif

**Comments:**

Attorney Docket No. 61765.00  
GRC Docket No. 3**JOINT DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**

As the below named inventors, we hereby declare that:

Our residences, post office addresses and citizenship are as stated below next to our names:

We believe that we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought in the invention entitled **SYSTEM AND METHOD FOR PROVIDING ASSET MANAGEMENT AND TRACKING CAPABILITIES**, the specification of which is as follows:

- is attached hereto.  
 was filed on \_\_\_\_\_ as Application Serial Number \_\_\_\_\_ and was  
 amended on \_\_\_\_\_  
 (if applicable)

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claim or claims amended by any amendment referred to in this declaration.

We acknowledge the duty to disclose all information known to us to be material to the patentability of this application, as defined in 37 C.F.R. § 1.56.

We acknowledge the duty to disclose to the Office all information known to us to be material to patentability as defined in § 1.56, became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in application.

**Prior Foreign Application(s)**

We hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before the application on which priority is claimed:

Country	Application Number	Date of Filing (day, month, year)	Date of Issue (day, month, year)	Priority Claimed Under 35 U.S.C. 119
				Yes <input type="checkbox"/> No <input type="checkbox"/>
				Yes <input type="checkbox"/> No <input type="checkbox"/>

**Prior United States Provisional Application(s)**

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below

Application Serial Number	Date of Filing (day, month, year)

**Prior United States Application(s)**

We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the paragraph of Title 35, United States Code, § 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial Number	Date of Filing (day, month, year)	Status - Patented, Pending, Abandoned

And we hereby appoint, both jointly and severally, as our attorneys with full power of substitution and revocation, to prosecute application and to transact all business in the Patent and Trademark Office connected herewith the following attorneys, their registration numbers being listed after their names

Stanislaus Aksman, Registration No. 28,562; Jennifer A. Albert, Registration No. 32,012; Thomas E. Anderson, Registration No. 37,042; David E. Baker, Registration No. 42,285; Scott D. Balderston, Registration No. 35,436; Carl L. Benson, Registration No. 38,378; Briar Buroker, Registration No. 39,125; Christopher C. Campbell, Registration No. 37,291; Robin C. Clark, Registration No. 40,956; Patrick Doody, Registration No. 35,022; Kevin T. Duncan, Registration No. 41,495; Ozzie Farres, Registration No. 43,606; Nancy Flint, Registration No. 46,704; Christopher J. Forstner, Registration No. 46,049; Charles F. Hollis, Registration No. 40,650; Nancy J. Jensen, Registration No. 45,913; Herbert V. Kerner, Registration No. 42,721; Jonathan D. Link, Registration No. 41,548; Tyler Maddry, Registration No. 40,074; Michael Martinez, Registration No. 31,178; David H. Milligan, Registration No. 42,893; James R. Miner, Registration No. 40,444; Kern Owens, Registration No. 37,412; Andrew J. Ririe, Registration No. 45,597; Stephen T. Schreiner, Registration No. 43,097; Robert M. Schulman, Registration No. 31,196; Thomas J. Scott, Jr., Registration No. 27,836; Yisun Song, Registration No. 44,487; Samson Ver Registration No. 42,202; and Scott F. Yarnell, Registration No. 45,245.

All correspondence and telephone communications should be addressed to Hunton & Williams, 1900 K Street, N.W., Washington 20006-1109, telephone number (202) 955-1500, which is also the address and telephone number of each of the above listed attorneys

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements jeopardize the validity of the application or any patent issuing thereon.

Signature \_\_\_\_\_ Date \_\_\_\_\_

Full Name of First Inventor	CARBONE Family Name	John First Given Name	William Second Given Name
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Citizenship	United States		
Post Office Address	944 Riverview Road Niskayuna, New York 12309		

Signature \_\_\_\_\_ Date \_\_\_\_\_

Full Name of Second Inventor	GUI Family Name	John First Given Name	Yupeng Second Given Name
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Signature \_\_\_\_\_ Date \_\_\_\_\_

Full Name of Third Inventor	SCHOLZ Family Name	Bernhard First Given Name	Joseph Second Given Name
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Attorney Docket No. 61765.00  
GRC Docket No. 3

Signature \_\_\_\_\_

Date

Full Name of Fourth Inventor	GANESH Family Name	Meena First Given Name	Second Given Name
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Signature \_\_\_\_\_

Date

Full Name of Fifth Inventor	CARNEY Family Name	Sean First Given Name	Douglas Second Given Name
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# FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

**TOTAL FEES AUTHORIZED: \$ 1280**

**The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:**

Deposit Account Number: 50-0206



Deposit Account Name: Hunton and Williams

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

## SUBMITTED BY

Authorized Name: Robin C. Clark

Electronic Signature Mark: rclark41

Date Signed: 20020805

## BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 740

Subtotal For Basic Filing Fee: \$ 740

## EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 50	103	\$ 18	30	\$ 540
Independent Claims: 2	102	\$ 84	0	\$ 0

Subtotal For Extra Claims Fees: \$ 540